

CLIENT PROFILE



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ADMITTED IN SOUTH CAROLINA AND NORTH CAROLINA

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PERSONAL INFORMATION

FAMILY INFORMATION

Date _____

Your Name (exactly as it appears on your driver's license or other picture ID)

Home Address _____
State _____ Zip _____ County _____
E-mail address _____
Social Security Number _____
Employer _____ Position _____
Date of Marriage _____

Nickname _____
City _____
Home Phone _____
Cell Phone _____
Birthdate _____
Business Phone _____
U. S. Citizen _____ Yes _____ No

Spouse's Name (exactly as it appears on his/her driver's license or other picture ID)

Social Security Number _____
E-mail address _____
Employer _____ Position _____
U. S. Citizen _____ Yes _____ No

Nickname _____
Birthdate _____
Cell Phone _____
Business Phone _____

CHILDREN (Show full legal names – attach additional sheet if necessary)

PARENT* BIRTHDATE

CHILDREN (Show full legal names – attach additional sheet if necessary)	PARENT*	BIRTHDATE
_____	_____	_____
_____	_____	_____
_____	_____	_____
_____	_____	_____

* Husband's child only (H), Wife's child only (W), Both Husband's and Wife's child (B)

ADVISERS

PHONE NUMBER

ADVISERS	PHONE NUMBER
Accountant _____	_____
Attorney _____	_____
Primary Personal Banker _____	_____
Stockbroker _____	_____
Referred to our firm by _____	_____

CASH

NAME OF INSTITUTION	TYPE*	OWNER**	AMOUNT
_____	_____	_____	\$ _____
_____	_____	_____	\$ _____
_____	_____	_____	\$ _____
_____	_____	_____	\$ _____
_____	_____	_____	\$ _____

*Checking Account (CA), Savings Account (SA), Certificates of Deposit (CD).

** Husband (H), Wife (W), Jointly (JT), Tenants in Common (TC), or Community Property (CP).

Note: If account is in your name for benefit of a minor, please specify and give minor's name.

NOTES RECEIVABLE

NAME OF DEBTOR	DATE OF NOTE	DATE DUE	NOTE OWED TO*	CURRENT BALANCE OWED
_____	_____	_____	_____	\$ _____
_____	_____	_____	_____	\$ _____
_____	_____	_____	_____	\$ _____

*Husband (H), Wife (W), Jointly (JT), Tenants in Common (TC), or Community Property (CP).

REAL ESTATE

Where you have either a deeded or land contract interest (*land or buildings that you own in partnership with someone else should be listed under the Partnership section*):

GENERAL DESCRIPTION AND/OR ADDRESS	OWNER*	FAIR MARKET VALUE	MORTGAGE BALANCE
_____	_____	\$ _____	\$ _____
_____	_____	\$ _____	\$ _____
_____	_____	\$ _____	\$ _____
_____	_____	\$ _____	\$ _____
_____	_____	\$ _____	\$ _____

* Husband (H), Wife (W), Jointly (JT), Tenants in Common (TC), or Community Property (CP).

If property is owned either JT or TC with someone other than spouse, please furnish name and relationship.

Note: if two or more names are on deed or contract without stating type of ownership, please use "?".

SOLE PROPRIETORSHIP BUSINESS INTERESTS

NAME OF BUSINESS	DESCRIPTION OF BUSINESS	OWNER*	VALUE
_____	_____	_____	\$ _____
_____	_____	_____	\$ _____
_____	_____	_____	\$ _____

* Husband (H), Wife (W), Jointly (JT), Tenants in Common (TC), or Community Property (CP).

PARTNERSHIP INTERESTS

PARTNERSHIP NAME	<u>PERCENTAGE OF PARTNERSHIP INTEREST</u>		OWNER*	VALUE
	GENERAL PARTNER	LIMITED PARTNER		
_____	_____	_____	_____	\$ _____
_____	_____	_____	_____	\$ _____

* Husband (H), Wife (W), Jointly (JT), Tenants in Common (TC), or Community Property (CP).

CORPORATE BUSINESS INTERESTS

Closely Held, Privately Owned Corporations (*Nonpublicly Traded*)

COMPANY	NUMBER OF SHARES	BUY/SELL AGREEMENT*	PERCENTAGE OWNERSHIP	OWNER**	VALUE
_____	_____	_____	_____	_____	\$ _____
_____	_____	_____	_____	_____	\$ _____
_____	_____	_____	_____	_____	\$ _____

*Please put if a Buy/Sell Agreement Exists

** Husband (H), Wife (W), Jointly (JT), Tenants in Common (TC), or Community Property (CP).

Note: If stock is owned either JT or TC with someone other than spouse, please furnish name and relationship.

BONDS

DESCRIPTION (<i>U.S. Savings Bonds, corporate, municipal, etc.</i>)	OWNER*	FACE VALUE
_____	_____	\$ _____
_____	_____	\$ _____
_____	_____	\$ _____

If bond is owned either JT or TC with someone other than spouse, please furnish name and relationship.

* Husband (H), Wife (W), Jointly (JT), Tenants in Common (TC), or Community Property (CP)

Note: Please put next to Bearer Bonds.

STOCK CERTIFICATES

Publicly Traded Corporations

Please list all stock ownership in publicly owned companies (*stock traded on an exchange or over the counter*). Stock owned in family or nonpublicly traded companies should be listed under the corporate business section. **(Do not list each stock owned in a brokerage account. See Brokerage Accounts below.)**

COMPANY	OWNER*	NUMBER OF SHARES	FAIR MARKET VALUE
_____	_____	_____	\$ _____
_____	_____	_____	\$ _____
_____	_____	_____	\$ _____
_____	_____	_____	\$ _____
_____	_____	_____	\$ _____

* Husband (H), Wife (W), Jointly (JT), Tenants in Common (TC), or Community Property (CP).
If stock is owned either JT or TC with someone other than spouse, please furnish name and relationship.

BROKERAGE ACCOUNTS

Provide account information only. Please do not list each stock.

BROKERAGE COMPANY	BROKER	OWNER*	CURRENT ACCT VALUE
_____	_____	_____	\$ _____
_____	_____	_____	\$ _____
_____	_____	_____	\$ _____
_____	_____	_____	\$ _____

* Husband (H), Wife (W), Jointly (JT), Tenants in Common (TC), or Community Property (CP).
If stock is owned either JT or TC with someone other than spouse, please furnish name and relationship.

RETIREMENT PLANS

TYPE OF PLAN*	COMPANY	BENEFICIARY UPON YOUR DEATH (Primary & Contingent)	PERCENT VESTED	VALUE
_____	_____	_____	_____	\$ _____
_____	_____	_____	_____	\$ _____
_____	_____	_____	_____	\$ _____
_____	_____	_____	_____	\$ _____

* IRA, Pension (P), Profit-Sharing (PS), H.R. 10

OTHER ASSETS

PERSONAL EFFECTS

(Furniture, automobiles, jewelry, collectibles and other personal assets of more than nominal value)

_____	\$ _____
_____	\$ _____
_____	\$ _____
_____	\$ _____
_____	\$ _____

ANTICIPATED INHERITANCES OR GIFTS

_____	\$ _____
_____	\$ _____
_____	\$ _____

ANY OTHER ASSETS

_____	\$ _____
_____	\$ _____
_____	\$ _____
_____	\$ _____

LIFE INSURANCE POLICIES AND ANNUITIES

Company _____

Agent _____ Agent's Phone _____

Policy Number _____ Type of Policy* _____ Who pays premium** _____

Insured _____ Owner _____

Primary beneficiary _____ Contingent beneficiary _____

Face amount \$ _____ Cash value \$ _____ Loans on Policy \$ _____

*Term, whole life, split dollar, group life, annuity

**Husband (H), Wife (W), Corporation (C)

Company _____
Agent _____ Agent's Phone _____
Policy Number _____ Type of Policy* _____ Who pays premium** _____
Insured _____ Owner _____
Primary beneficiary _____ Contingent beneficiary _____
Face amount \$ _____ Cash value \$ _____ Loans on Policy \$ _____

Company _____
Agent _____ Agent's Phone _____
Policy Number _____ Type of Policy* _____ Who pays premium** _____
Insured _____ Owner _____
Primary beneficiary _____ Contingent beneficiary _____
Face amount \$ _____ Cash value \$ _____ Loans on Policy \$ _____

Company _____
Agent _____ Agent's Phone _____
Policy Number _____ Type of Policy* _____ Who pays premium** _____
Insured _____ Owner _____
Primary beneficiary _____ Contingent beneficiary _____
Face amount \$ _____ Cash value \$ _____ Loans on Policy \$ _____

Company _____
Agent _____ Agent's Phone _____
Policy Number _____ Type of Policy* _____ Who pays premium** _____
Insured _____ Owner _____
Primary beneficiary _____ Contingent beneficiary _____
Face amount \$ _____ Cash value \$ _____ Loans on Policy \$ _____

*Term, whole life, split dollar, group life, annuity
**Husband (H), Wife (W), Corporation (C)

SUMMARY OF VALUES

ASSETS

AMOUNTS*

	HUSBAND	WIFE
Cash	\$ _____	\$ _____
Notes receivable	\$ _____	\$ _____
Real estate	\$ _____	\$ _____
Sole proprietorship business interests	\$ _____	\$ _____
Partnership interests	\$ _____	\$ _____
Corporate business interests	\$ _____	\$ _____
Bonds	\$ _____	\$ _____
Stock certificates	\$ _____	\$ _____
Brokerage accounts	\$ _____	\$ _____
Retirement plans	\$ _____	\$ _____
Other assets and personal effects	\$ _____	\$ _____
Life insurance face amounts	\$ _____	\$ _____
TOTAL ASSETS	\$ _____	\$ _____

*Joint Tenancy (JT), Tenancy in Common (TC) and Community Property (CP) values go half in husband's column, half in wife's column.

LIABILITIES

AMOUNTS*

	HUSBAND	WIFE
Loans payable	\$ _____	\$ _____
Accounts payable	\$ _____	\$ _____
Real estate mortgages payable	\$ _____	\$ _____
Contingent liabilities	\$ _____	\$ _____
Loans against life insurance	\$ _____	\$ _____
Unpaid taxes	\$ _____	\$ _____
Other obligations	\$ _____	\$ _____
TOTAL LIABILITIES	\$ _____	\$ _____

NET ESTATE

\$ _____

INDIVIDUALS OR INSTITUTIONS TO SERVE

HUSBAND

WIFE

Initial Trustee

- You may serve alone as your own Trustee.

Initial Cotrustee

- If you want someone to serve with you.

Trustee(s), if I am disabled

- You may want to name more than one.

Trustee(s) upon my death

- You may want to name more than one.

Successor Trustee(s)

- Individuals or institution to serve if your first choices cannot serve.

Personal Representative
(Executor under your Will)

- Usually the same as the Trustees named to serve at your death.

Health Care Power of Attorney Agent

Agent's Address

Agent's Phone Number

HUSBAND

WIFE

**Health Care Power
of Attorney Successor Agent**

Successor Agent's Address

Successor Agent's Phone Number

**Financial Power of Attorney
Attorney's Name**

**Financial Power of Attorney
Successor Attorney's Name**

Guardian(s)

· For minors under
the age of 18

Special Needs and Considerations

Disabled or special needs beneficiaries

Prior marriages and any
pre-marital agreements

Describe any other concerns or objectives